

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

109/94334 PTO  
08/30/01

Application of: Rittershaus & Thomas

Serial No.: not yet assigned

Filed: concurrently herewith

Entitled: MODULATION OF CHOLESTERYL ESTER  
TRANSFER PROTEIN (CETP) ACTIVITY

Division of U.S. Appln. Ser. No. 08/945,289

Attorney Docket No.: TCS-411.1P US-1

Examiner: not yet assigned

Art Unit: not yet assigned

**Box Patent Application**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Transmittal of Sequence Listing, Computer Readable Form, and Amendment Pertaining**  
**Thereto For Invention Containing Nucleotide and/or Amino Acid Sequence**  
**Under 37 CFR §§1.821-1.825**

Sir:

Transmitted herewith please find: a diskette containing a computer readable form (CRF) copy of an amended Sequence Listing, a paper copy of the amended Sequence Listing, and a preliminary amendment for the patent application filed currently herewith this paper.

Applicants have assigned a separate identifier to each of the 9 sequences disclosed, as required by 37 CFR §§1.821-1.825.

Applicants verify the following:

1. The enclosed amended Sequence Listing and the computer readable form of the amended Sequence Listing for the above-identified patent application are the same in content, as required by 37 CFR §1.825(b);
2. The enclosed amended Sequence Listing for the above-identified patent application contains no new matter and does not go beyond the original disclosure of the application, as required by 37 CFR §1.825(a);

3. The enclosed amended Sequence Listing and the computer readable form of the amended Sequence Listing for the above-identified patent application are in a form and format in accordance with 37 CFR §§1.822, 1.823, and 1.824.

Respectfully submitted,



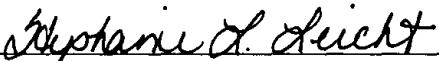
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

The undersigned hereby certifies that this correspondence listed above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10, postage prepaid, Express Mailing Label No. **EL164335398US**, in an envelope addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on the date indicated below.

August 30, 2001  
Date



Stephanie L. Leicht